CABINET FOR HEALTH AND FAMILY SERVICES FATALITY/NEAR FATALITY REPORTING TABLE

JUNE 2017

In accordance with the requirements of Section 106(b)(2)(B)(x), the state child welfare agency is required to publically disclose details related to fatalities or near fatalities caused by abuse or neglect.*

In September 2012, the Children's Bureau (CB) issued revised policy establishing minimum requirements for disclosure regarding child fatalities and near fatalities that are a result of child abuse or neglect. (See Child Welfare Policy Manual Section 2.1A.4 http://www.acf.hhs.gov/cwpm/programs/cb/laws policies/laws/cwpm/policy dsp.jsp?citID=68)

States are now required to provide the following minimum information:

- The cause and circumstances regarding the child fatality or near fatality
- The age and gender of the child
- Information describing any previous reports of child abuse or neglect that are pertinent to the abuse or neglect that led to the child fatality or near fatality
- Information describing any previous investigations pertinent to the abuse or neglect that led to the child fatality or near fatality
- The results of any such investigations
- The services provided by the State and actions of the State on behalf of the child that are pertinent to the child abuse or neglect that led to the child fatality or near fatality

States are not required to identify the children, family, or household member associated with the fatality or near fatality.

This table is submitted annually with the APSR and posted on the Division of Protection and Permanency public web page (http://chfs.ky.gov/dcbs/dpp/childandfamilyservicesplanning.htm). The cases are reported on the State Fiscal Year (SFY) as they are resolved. Cases from the previous fiscal years that were not finalized at the time of submission in are added to subsequent year's tables and are noted with an asterisk (). Cases received after the child has died are coded as "F XX-XX". Cases that are received as a near fatality are coded as "NF XX-XX")

Number	Report Date(s)	Type of allegation(s)	Investigative Outcome(s)	Case Disposition(s)	Services Provided
NF 01-16 A 4-year-old African American male presented to the ER with a broken jaw, subdural hematoma, swelling to the right side of his head, swelling and bruising to his left eye, multiple contusions and lacerations in different stages of healing, and a skull fracture. The child's paternal grandfather and step grandmother inflicted these injuries.	07-04-2015	Physical Abuse	Substantiated Death/Near Death	Case Opened Currently Active Ongoing Case	Foster Care, then Relative Placement Individual Therapy
NF 04-16 A 2-month-old bi-racial male presented to the ER after only gaining 2 ounces since birth. The mother and maternal grandmother failed to provide care.	07-10-2015	Medical Neglect	Substantiated Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Child Day Care First Steps Foster Care Individual therapy Medical care
NF 05-16 A 2-month-old Caucasian male developed pneumonia and severe malnourishment from a lack of proper care for a medical condition.	07-04-2015	Medical Neglect	Substantiated Death/Near Death	Case Opened Inactive Case	Family Preservation Family Therapy Individual Therapy Kinship/Relative Placement Offender Treatment Psychological/Psychiatric Services Substance Abuse Treatment

Both parents were responsible the lack of care.					Social Work Counseling Monthly Home Visits The child was adopted by his maternal uncle and aunt. The mother was sentenced to 5 years, but was released after 3 months to go to in-patient rehabilitation. The father was also sentenced to 5 years and allowed to leave after 3 months to go to rehab. The father failed to complete his rehab program, so he was re-arrested to serve the remainder of the 5-year sentence.
	09-30-2013	NeglectSupervision Physical Abuse	Unsubstantiated	Case Closed	Aftercare plan ensuring the children are provided age appropriate supervision, not left in the care of anyone who is under the influence of intoxicants, place the children with an alternate caregiver who is sober if the mother chooses to engage in intoxicating substance use, and the children will not be exposed to the use of intoxicating substances or activities.
	04-15-2013	NeglectSupervision	Unsubstantiated	Case Closed	Prevention plan instructing mother to provide appropriate supervision of the child to include that the child is within eyesight of an appropriate adult caregiver while outside.
	05-20-2010	Neglect- Basic	Services Not Needed	Case Closed	First Steps Referral
NF 08-16 A 2-year-old Caucasian female nearly died after ingesting a fentanyl patch.	07-20-2015	Neglect- Environment	Substantiated Death/Near Death	Case Opened Inactive Case	Court Active Family Preservation Program Prevention plan ensuring the family gets a lock box for all medications

The child was in her father's care at the time of the ingestion.	08-29-2011 04-21-2009	Neglect- Basic Neglect- Basic	Services Not Needed Services Needed	Case Closed Case Opened	Relative Placement Drug Screening N/A Court Action Prevention plan addressing supervision Social work monitoring
F 02-16 A newborn African American female was suffocated. The child's mother inflicted the injuries at birth.	07-23-2015	Physical Abuse	Death/Near Death Substantiated	Case Closed	There were no surviving siblings, and the mother was incarcerated.
NF 09-16 A 3-year-old African American female with complex medical issues was not provided with appropriate care and medications. The child's mother was responsible for care at the time of the incident/injury.	08-06-2015	Medical Neglect	Death/Near Death Substantiated	Case Opened Inactive Case	Foster Care Court Action Medical Education/Training The mother stipulated to neglect in court. The mother successfully attended all of the child's therapy and doctor's appointments.
NF 10-16 A 4-month-old bi-racial male nearly died after he was physically assaulted by the biological father. The child died from his injuries.	08-06-2015	Physical Abuse	Death/Near Death Substantiated	Case Closed Inactive Case	No other children in the home.

F 06-16 A 4-day-old Caucasian male died after co-sleeping with intoxicated caregivers. The child's parents were providing care at the time of death.	08-12-2015	Neglect—Impaired Caregiver	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Family Preservation Program Family therapy Family violence counseling Individual therapy Kinship/relative placement Psychological/psychiatric services Social work counseling Substance abuse treatment
	02-10-2014	Neglect- Basic	Unsubstantiated	Cased Closed	Continuation of previous ongoing case Relative/Kinship Placement Court Action
	08-30-2012	Neglect—Impaired Caregiver	Services Needed	Case Opened	Relative/Kinship Placement Substance Abuse Treatment Individual Therapy
	05-21-2012	Neglect—Impaired Caregiver	Unsubstantiated	Case Closed	Drug Screens Aftercare Plan
NF 11-16 A 13-year-old Caucasian female nearly died after ingesting synthetic marijuana and alcohol. An adult friend of the family supplied the substances.	08-06-2015	NeglectSupervision	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Drug Screens Family Preservation Program Family Therapy Foster Care Individual Therapy Parenting Classes Social Work Counseling Substance Abuse Treatment
NF 12-16 A 1-year-old Caucasian female ingested 2 Suboxone tablets. The mother was caring for the time at the time of her ingestion.	08-12-2015	Neglect- Basic	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Substance Abuse Treatment Supervised Visitation Court Action Kinship/Relative Placement Drug Screens

F 03-16 An 8-year-old Caucasian female was found floating in a pond behind her home. The child was autistic and non-verbal. The mother and her sister were providing care of the children at the time of the child's death.	07-30-2015	Neglect	Death/Near Death Substantiated	Case Opened Inactive Case	Parenting classes with a focus on age appropriate supervision Relative/Kinship Placement Housing First Steps Individual Therapy Drug Screens The family worked with DCBS for a year, but not fully complete a case plan. When a death in the family occurred, the family went to Louisiana and never returned.
F 07-16 A 10-year-old Caucasian male passed away. An autopsy discovered high levels of hydrocodone in his system, which contributed to his	08-17-2015	Neglect- Risk of Harm	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Foster Care Parenting Classes Domestic Violence Assessment Mental Health Assessment Substance Abuse Assessment
death. The parents were inappropriately giving the medication.	07-26-2015	Neglect- Environment Physical Abuse	Unsubstantiated	Case Closed	Case closed due to fatality report being received. No services were offered through this referral.
medication.	06-25-2014	Neglect- Supervision	Services Not Needed	Case Closed	Prevention Plan: The parents will lock all medications out of reach and sight of the children, parents will make sure child's mental health needs are met and she gets assessed, the parents will contact the CDW if the child has any out of control behaviors or call the police.
	04-16-2014	Physical Abuse	Services Not Needed	Case Closed	Prevention plan: appropriate discipline strategies and environmental issues

					Aftercare Plan: Family will continue
					with therapy.
	08-29-2013	Neglect- Basic	Unsubstantiated	Case Closed	Prevention Plan: Supervised contact with the alleged perpetrator by agreement until resolution of the investigation
NF 14-16	08-17-2015	Physical Abuse	Death/Near Death	Case Opened	Family Violence Counseling
A 1-month-old Caucasian		,	Substantiated	'	Individual Therapy
female was identified as having a brain bleed and required intubation.				Inactive Case	Offender Treatment Six Prevention Plans (updated according to case goals and objectives)
The injury was inflicted by the biological father.					The mother completed her case plan. The father was sentenced to seven years and was ordered to have no contact with the children in the home. The mother filed for divorce from the father and has been protective.
	02-21-2015	Neglect- Supervision	Unsubstantiated	Case Closed	Aftercare Plan (no details of plan listed)
NF 15-16 A 2-month-old Caucasian male was identified as severely malnourished. The child could not maintain body temperature, heart rate, normal breathing, or blood glucose.	08-14-2015	Medical Neglect	Death/Near Death Substantiated	Case Closed Inactive Case	A companion investigation occurred in Tennessee as well due to all family members residing in that state. All five children in this family were placed in foster care through Tennessee DCS.
NF 16-16	09-01-2015	Physical Abuse	Death/Near Death	Case Opened	Prevention Plan: Supervised contact
A 4-month-old Caucasian female presented to the ER with three fractured ribs, subdural hemorrhages,			Substantiated	Inactive Case	during the investigation Kinship/Relative Placement

multiple bi-lateral retinal hemorrhages, acute respiratory failure, altered mental status, seizures, and					The case was closed due to the children being in permanent relative placement and the mother being incarcerated.
both vision and hearing loss. Both the child and her older	04-10-2015	Medical Neglect	Unsubstantiated	Case Closed	Prevention Plan: Appropriate medical care
sibling had extensive bruising covering their entire bodies as well. The mother and her cousin who was babysitting for the family were arrested and charged with Criminal Abuse 1 st Degree.	10-26-2012	Neglect—Risk of harm/domestic violence	Services Not Needed	Case Closed	Prevention Plan: Exposure to spouse/partner violence
NF 17-16	09-06-2015	Physical Abuse	Death/Near Death	Case Closed	No services were provided, as there
A 2-month-old bi-racial			Substantiated		are no other children in the home.
female had severe internal				Inactive Case	
bleeding upon admission to the hospital. The child died from her injuries. The mother's paramour was caring for the child at the time of her injuries.	07-19-2012	Neglect	Substantiated	Case Closed	The family moved to Cookville, TN, so no services were offered.
NF 19-16	09-06-2015	Neglect	Death/Near Death	Case Opened	CCC In-Home Based Services
A 2-year-old Hispanic female			Substantiated		Child Day Care
nearly died after being found in a hot car after being missing for more than 20				Inactive Case	Family Preservation Program Services in the Community Social Work Counseling
minutes. The child was					Structured Activities
unresponsive. The mother					Victim Advocate
assumed that her family					Kinship/Relative Placement
members were watching the					Court Action
child, but they had left the					Parenting Classes
home to get food for their					First Steps
family.					HANDS Program

					Parent Support Group Numerous Prevention Plans (updated according to accommodate completion of services.) Family completed case plan tasks and objectives. The mother regained custody of her children.
NF 22-16	09-23-2015	Neglect—Impaired	Death/Near Death	Case Opened	Child Day Care
A 3-year-old Caucasian male sustained a skull fracture and brain bleeds following a motor vehicle accident. The child's father was Impaired while driving.		Caregiver	Substantiated	Inactive Case	Family Preservation Program Individual therapy Medical care Psychological or Psychiatric Services Social Work Counseling Substance Abuse Treatment Mother completed case plan.
	09-17-2015	NeglectEnvironmental	Unsubstantiated	Case Closed	Prevention Plan: Environmental Issues
	05-19-2014	Neglect- Risk of Harm	Substantiated	Case Opened	Family Preservation Program Family violence counseling Services in the Community Prevention Plan: Supervised contact during the investigation
	01-31-2011	Neglect- Basic Physical Abuse	Unsubstantiated	Case Closed	N/A
	08-27-2010	Neglect- Basic Physical Abuse	Unsubstantiated	Case Closed	N/A
	07-12-2010	Neglect- Basic	Services Not Needed	Case Closed	N/A
	04-23-2010	Neglect- Basic Sexual Abuse	Unsubstantiated	Case Closed	N/A
NF 23-16	09-25-2015	Physical Abuse	Death/Near Death Substantiated	Case Closed	The child died from her injuries and there are no other children in the

A 1-year-old Caucasian female sustained "bilateral retinal hemorrhages too numerous to count," cutaneous contusions, subdural hemorrhages, cerebral edema, acute subarachnoid hemorrhage and subdural and subarachnoid hemorrhage of the spinal cord. The injuries were inflicted by the mother's paramour.				Inactive Case	mother's home. A companion case was entered due to the mother's paramour having children with other women. The paramour was arrested and charged with murder.
NF 24-16 A 1-year-old Hispanic male presented to the hospital with a left subdural hematoma. The child received brain surgery to address the issue. The child requires a feeding tube to receive the necessary nutrients. The alleged perpetrator is unknown.	09-28-2015	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Parenting Classes Court Action Kinship/Relative Placement
NF 25-16 A 2-month-old Caucasian female presented to the hospital with h a broken arm, broken rib, multiple bruises on the back and shoulder, and a brain bleed. The child was with her father the day her injuries occurred.	09-25-2015	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Family Preservation Program Family therapy Foster Care Individual Therapy Offender Treatment Services in the Community Substance Abuse Treatment

NF 26-16 A 3-month-old Caucasian male sustained from bilateral subdural hemorrhage and multiple bilateral healing rib fractures. The injuries were inflicted by the biological father.	09-01-2015 06-28-2015	Physical Abuse Physical Abuse Neglect—Supervision	Death/Near Death Substantiated Unsubstantiated Unsubstantiated	Case Opened Inactive Case Case Closed Case Closed	Court Action Drug Screens Kinship/Relative Placement Parenting Classes Family therapy Family violence counseling Individual therapy Medical care Offender treatment Psychological or psychiatric Social Work Counseling The relatives obtained permanent relative custody due to the mother's non-compliance in completing her case plan. No services offered due to receiving the near fatality investigation. Prevention Plan: Appropriate child
NF 27-16 A 3-year-old bi-racial male was found with an altered mental state. He was determined to have ingested or been administered Clonidine. Both parents were caregivers at the time of the incident.	10-05-2015	Neglect- Supervision	Death/Near Death Substantiated	Case Opened Inactive Case	Court Action- Emergency Custody Order Case Plan Foster Care Placement with paternal grandmother
NF 29-16 A 1-month-old Caucasian female presented to the ER with multiple bruises,	10-05-2015	Physical Abuse	Death/Near Death Substantiated	Case Opened Inactive Case	Random Drug Screens Mental Health Assessment Individual Therapy AA/NA Meetings

subdural hemorrhage following injury, subarachnoid hemorrhage following injury, metaphyseal fracture of bone of left lower extremity, and physical child abuse, suspected. The biological father inflicted the	08-27-2015	Neglect- Risk of Harm	Substantiated	Case Opened	The paternal aunt and uncle were awarded permanent relative custody. The father was incarcerated and the mother did not visit or complete her case plan tasks. Court Action
injuries.				,	No other services were offered due to the near fatality investigation occurring.
	01-27-2014	Neglect- Supervision	Substantiated	Case Closed	The parents could not be located to provide services. The grandmother received permanent custody and the case was closed.
NF 31-16 A 4-year-old Caucasian female sustained a liver laceration, acute respiratory failure, dental trauma, spinal cord injury of thoracic region without bone injury and spinal cord injury following a car accident. The biological father was driving while intoxicated, and the child was unrestrained in the	10-09-2015	Neglect- Basic	Death/Near Death Substantiated	Case Opened Inactive Case	Court Action Drug Screens Prevention Plan Substance Abuse Treatment/Counseling Individual Therapy Kinship/Relative Placement The father completed his case plan and maintained services after completion of their programs. The child was returned to his custody.
vehicle.	07-05-2011	Neglect- Basic	Substantiated	Case Opened	Kinship/Relative Placement Court Action Drug Screens Kinship Care Funds Substance Abuse Treatment Domestic Violence Offender Treatment Substance Abuse Assessment

					Batterers Intervention Program First Steps
	11-16-2010	Neglect-Basic	Services Not Needed	Case Closed	Prevention Plan Substance Abuse Assessment UKTAP Program HANDS Program Aftercare Plan HANDS Program
F 13-16 A 4-month-old African American male was fed solid food against medical professional's advice. Upon	10-12-2015	Neglect- Basic	Death/Near Death Substantiated	Case Opened Inactive Case	Emergency Custody Order Court Action Foster Care Children's Advocacy Center ICPC Relative Placement
autopsy, it was found that the child's intestines had ruptured leaking bile into his abdomen, and the child had several months of solid food in his stomach that could not be digested. The mother was					The children were placed with their father in Tennessee. The mother failed to make progress on her case plan. The court allowed the case to be closed with permanent custody to the father.
the sole custodian at the time of the child's death.	05-31-2015	Neglect- Risk of Harm	Unsubstantiated	Case Closed	Prevention Plan: Meeting basic needs and medical appointments
	11-22-2013	Neglect- Basic	Unable to Locate	Case Closed	No services were offered. The family was located living in Ohio, so a referral was made to Hamilton County Job and Family Services.
NF 32-16 A 16-year-old Caucasian male nearly died after being	10-10-2015	Neglect	Death/Near Death Substantiated	Case Opened Inactive Case	Court Action Individual Therapy Aftercare Plan
permitted by his father to drink a fifth of bourbon over the span of two hours. The child vomited and aspirated while he was unresponsive.					After disposition, the judge dismissed the court case, however, the father never worked a case plan with the Cabinet.

The child required intubation.					
NF 34-16 An 8-month-old Caucasian female was exposed to crushed Percocet. She became unresponsive and required Narcan to arouse her. The biological father was caring for the child at the time of the incident.	10-13-2015	Neglect- Environment	Death/Near Death Substantiated	Case Opened Inactive Case	Prevention Plan Kinship/Relative Placement UK TAP Substance Abuse Treatment Drug Screens Family Preservation Services Individual Therapy Veterans Services The father had shown progress in his recovery and completed a case plan.
	02-03-2015	Neglect- Risk of Harm	Substantiated	Case Opened	Substance Abuse Treatment Numerous Prevention Plans UK TAP Health Start Program The case plan was completed after the near fatality referral was received.
NF 35-16 A 2-year-old Caucasian male had bruising to his chest, hip, and bleeding to the stomach. The injuries were inflicted by an uncle while babysitting.	10-14-2015	Physical Abuse	Death/Near Death Substantiated	Case Closed Inactive Case	The uncle was arrested and charged for the injuries inflicted to the child.
NF 37-16 A 4-year-old Caucasian male	10-20-2015	NeglectSupervision	Death/Near Death Substantiation	Currently Active Ongoing Case	Continuation of existing services
drowned during a camping trip. The child was being supervised by an aunt.	07-08-2015	Medical Neglect Neglect	Substantiated	Case Opened	Family Therapy Individual Therapy Kinship/Relative Placement Psychological or Psychiatric Services Substance Abuse Treatment

				N
				Numerous Prevention Plans (updated
				as tasks were completed)
				Court Action
				Supervised Visitation
				Drug Screens
06-18-2014	DV/CPS Neglect	Substantiated	Case Opened	Court Action
				Kinship/Relative Placement
				Mental Health Assessment for child
				Inpatient Rehabilitation for Substance
				Abuse Treatment
05-09-2014	Neglect-Supervision	Unsubstantiated	Case Closed	No services offered during this referral
				due to a new referral being received.
				Children were removed in that referral
				instead of this one.
09-09-2013	Sexual Abuse	Unsubstantiated	Case Closed	No services offered during this referral
				due to active ongoing case.
07-26-2013	Neglect- Basic	Substantiated	Case Opened	Court Action
				Kinship/Relative Placement
				Drug Screens
				Parenting Classes
				Supervised Visitation
02-12-2013	Physical Abuse	Unsubstantiated	Case Closed	Prevention Plan (no details of plan)
02 12 2013	T Trysteat Abase	Olisabstalitiatea	Case closed	Aftercare Plan (no details of plan)
				Drug Screens
06-19-2012	Neglect- Basic	Unsubstantiated	Case Closed	The family agreed to continue with all
00-19-2012	Neglect- basic	Ulisubstantiated	Case Closed	mental health services for the child.
11 20 2011	Danandanau	Comisso Needed	Casa Onemad	
11-29-2011	Dependency	Services Needed	Case Opened	Mental Health Services- Inpatient for child
				IMPACT PLUS
				Family Preservation Services
20 11 205				Protective Daycare
08-14-2008	Neglect- Basic	Unsubstantiated	Case Closed	N/A
12-28-2007	Neglect- Basic	Services Not Needed	Case Closed	Mental Health Assessment

	09-10-2007	Neglect- Basic	Unsubstantiated	Case Closed	Drug Screens
	03-07-2007	Neglect- Basic	Unsubstantiated	Case Closed	Custody granted to the biological father
	12-13-2005	Dependency	Services Needed	Case Opened	Court Action Kinship/Relative Placement
NF 38-16 A 5-month-old Caucasian male sustained from a subdural hematoma. The mother and another relative were the caretakers during the time that the child was injured.	10-22-2015	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Drug Screens Family Preservation Services Numerous Prevention Plans (updated as tasks were completed.) Mental Health Assessment Individual Therapy Domestic Violence Counseling Family Counseling Chemical Dependency Assessment
	09-08-2015	Neglect—Risk of Harm due to domestic violence	Services Needed	Case Opened	Child Day Care Family Preservation Program Family therapy Individual therapy Substance Abuse Treatment
F 16-16 A 1-month-old Caucasian male sustained multiple skull fractures, hemorrhages, a lip ring lodged in his throat, and healing rib fractures. The injuries were inflicted by the mother's paramour.	11-06-2015	Physical Abuse	Death/Near Death Substantiated	Case Closed Inactive Case	No services offered due to no other children in the home.
NF 43-16 A 10-month-old Caucasian female was dosed with Klonipin during a murder/suicide attempt. The	11-10-2015	Neglect	Death/Near Death Substantiated	Case Opened Inactive Case	Court Action Foster Care First Steps Family therapy Family violence counseling Individual therapy

child's mother inflicted the injury. Both survived.					Kinship/Relative Placement Medical care Substance Abuse Treatment The parents did not complete tasks and objectives on their case plan. The paternal aunt received permanent
NF 45-16 A 2-year-old Caucasian female ingested a substance and became unresponsive. The mother did not seek medical attention for several hours after finding her child unresponsive. The mother appeared impaired at the hospital.	11-17-2015	Medical Neglect	Death/Near Death Substantiated	Case Opened Inactive Case	relative custody. Court Action Foster Care Kinship/Relative Placement Medical care Parenting Classes Psychological or psychiatric Psychotropic medications Substance Abuse Treatment A relative received custody after the parents failed to complete any of their case plan tasks and objectives.
	08-17-2015	Neglect- Environment & Supervision	Unsubstantiated	Case Closed	N/A
	11-15-2010	Neglect- Basic	Unable to Locate	Case Closed	N/A
NF 46-16 A 4-month-old Caucasian male sustained acute and chronic subarachnoid bleed and significant retinal hemorrhaging, bilaterally. The child's baby sitter inflicted the injuries.	11-14-2015	Physical Abuse	Death/Near Death Substantiated	Case Closed Inactive Case	The case was closed. The alleged perpetrator was not a household member, and has no ongoing access to the child.
NF 47-16 A 5-month-old African American female sustained a	12-18-2015	Physical Abuse	Death/Near Death Substantiated	Case Opened	Court Action Daycare Assistance Foster Care

fracture to her proximal right tibia proximal left tibia, distal right tibia and distal left tibia, closed parietal fracture, has				Currently Active Ongoing Case	First Steps Mental Health Assessment Vocational Rehabilitation Independent Living
anemia, a cyst on her gallbladder, patent foramen ovale (hole in her heart) and left peripheral pulmonary stenosis. The child was in the care of the maternal grandmother and her paramour at the time of her injuries.	11-18-2015	Neglect- Supervision Physical Abuse	Unsubstantiated	Case Closed	Duplicate Referral
NF 48-16 A 3 month old Caucasian	11-22-2015	Physical Abuse	Death/Near Death Substantiated	Case Opened	Child Day Care Family violence counseling
male sustained extensive,			Substantiated	Currently Active	Individual therapy
multilayered retinal				Ongoing Case	Medical care
hemorrhages and schisis in				Origonia case	Offender treatment
both eyes.					Psychological or psychiatric
1					Services in the Community
The injuries were inflicted by					Social Work Counseling
the biological father.					Supervised Contact
	10-01-2015	Neglect- Basic	Unsubstantiated	Case Closed	Prevention plan related to supervision
					and environmental issues.
	04-29-2015	Neglect- Supervision	Unsubstantiated	Case Closed	Prevention plan related to supervision
					and environmental issues.
	03-25-2015	Neglect- Risk of Harm	Unsubstantiated	Case Closed	N/A
	02-23-2015	Neglect- Risk of Harm	Substantiated	Continued ongoing	Supervised Contact
				case	Relative Placement
	11-18-2014	Neglect- Risk of Harm	Substantiated	Case Opened	Court Action
					Family Preservation Services
					Individual Therapy
					ICPC home evaluation requests

	03-13-2013	Neglect- Basic	Services Not Needed	Case Closed	The family was actively participating in First Steps and HANDS for medically fragile infant.
	07-18-2012	Neglect- Basic	Services Not Needed	Case Closed	Prevention plan related to environmental issues and follow-up for diaper rash CCC program. HANDs program
	01-24-2012	Neglect- Basic	Services Not Needed	Case Closed	N/A
	09-16-2011	Physical Abuse Neglect- Basic	Unsubstantiated	Case Closed	Mental Health Assessment Referral
NF 50-16 A 2-year-old Caucasian male was brought into the ER unresponsive. The child tested positive for methadone on a urine analysis and then on a hair follicle he tested positive for methamphetamine, cocaine, methadone, opiates and other metabolites of these drugs. Both parents were caring for the child at the	11-30-2015	Neglect- Environment	Death/Near Death Substantiated	Case Opened Inactive Case	Court Action Random Drug Screens Kinship/Relative Placement Supervised Visitation Mental Health Assessment Forecast Assessment Absent Parent Search The maternal grandparents received permanent relative custody. The parents were ordered to have no contact with the children through their criminal hearings.
time of his ingestion.	08-12-2005	Physical Abuse	Unsubstantiated	Case Closed	N/A
F 17-16 A 5-month-old Hispanic female drowned in a bathtub with the water running. The mother was supervising the child at the time of the incident.	12-06-2015	Neglect—Supervision	Death/Near Death Substantiated	Case Closed Inactive Case	Court Action (petitions denied) SAFY In-Home Services Individual Therapy Prevention plan: supervision issues

F 19-16 A 5-year-old, autistic, Caucasian male drowned in a bathtub after being unattended for almost an hour. The child was previously known to have seizures and developmental disorders. The child was being supervised by the stepfather at the time of his death.	01-04-2016	Neglect—Supervision	Death/Near Death Substantiated	Case Closed Inactive Case	Court Action There were no surviving children in this home. This case was closed. A secondary referral was taken regarding the father's children who reside with their mother.
	11-11-2015	Physical Abuse Neglect- Risk of Harm	Unsubstantiated	Case Closed	Kinship/Relative Placement Supervised Visitation Anger Management Referral Parenting Stress Inventory Prevention Plan addressing inappropriate discipline and supervision of contact until case task completion
	12-12-2014	Medical Neglect Neglect-Supervision	Unsubstantiated	Case Closed	Supervised Contact Mental Health Assessment
NF 53-16 A 5-month-old Caucasian female was diagnosed as failure to thrive. Both parents were caring for the child at the time of her diagnosis.	12-15-2015	Neglect	Death/Near Death Substantiated	Case Opened Inactive Case	Kinship/Relative Placement Court Action At case closure: a permanent no contact order was issued, and a relative received permanent custody.
NF 54-16 A 3-year-old Caucasian male was found unresponsive after ingesting adult medication.	03-15-2016	Neglect- Supervision	Death/ Near Death Substantiated	Case Opened Inactive Case	Substance Abuse Treatment Mental Health Assessment Individual Therapy Family Violence Counseling Family Preservation Program

The child was being cared for by his grandmother (custodian), and her paramour.					The former custodians did not work a case plan. The children were placed in another home via permanent relative custody.
	02-11-2016 12-18-2015	Neglect- Basic Neglect- Environment	Unsubstantiated Substantiated	Case Closed Case Opened	Continuation of active ongoing case. Family Preservation Individual Therapy Drug Screens Kinship/Relative Placement
	09-18-2015	Neglect- Environment	Unsubstantiated	Case Closed	Prevention plan addressing medical appointments and environmental issues
	12-30-2013	Neglect- Basic	Services Needed	Case Closed	Family Preservation Program Prevention plan addressing environmental issues
NF 59-16 A 10-month-old Caucasian female sustained from a subdural hematoma, subarachnoid hemorrhages, and a skull fracture that resulted in the need for surgery. The child also had a broken rib and wrist. The mother's paramour inflicted the injuries.	01-08-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Foster Care Child Day Care Family therapy Family violence counseling Group therapy Mental Health Assessment Medical care Preventative Assistance Social Work Counseling
NF 61-16 A 4-year-old African American male sustained a subdural hematoma, bilateral retinal hemorrhaging, and	01-14-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Family therapy Individual therapy Parenting Classes Substance Abuse Treatment

multiple bruises covering his	01-12-2016	Physical Abuse	Unsubstantiated	Case Closed	N/A
body.	09-11-2015	Neglect- Supervision	Unsubstantiated	Case Closed	Drug Screen
The mother's paramour inflicted the injuries.	04-13-2009	Sexual Abuse	Substantiated	Case Closed	Prevention Plan (no details documented) Aftercare Plan (no details documented)
innicted the injuries.	09-18-2007	Neglect- Basic	Unsubstantiated	Case Closed	Family relocated out of state.
	09-18-2007		Unsubstantiated	Case Closed	Aftercare Plan (no details documented)
	09-09-2005	Neglect- Basic Physical Abuse	Offsubstantiated	Case Closed	Aftercare Plan (no details documented)
	11-12-2004	Neglect- Basic	Services Not Needed	Case Closed	Daycare Referral
	04-17-2000	Sexual Abuse	Unsubstantiated	Case Closed	N/A
NF 62-16 A 5-month-old Caucasian male sustained from severe anoxic brain injury, extensive retinal hemorrhages, and bilateral subdural hemorrhages with extensive areas of infractions. The child was in the care of his father at the time of his injuries.	01-16-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Anger Management Assessment CCC In-Home Based Service (IHBS) Children's Advocacy Center Interview Court Action Daycare Referral Domestic Violence Perpetrator Assessment Family Preservation Program Family therapy Home Health services Individual therapy Kinship/Relative Placement Mental Health Assessment Numerous Prevention Plans Physical / rehabilitation therapies Services in the Community Substance Abuse Treatment
NF 67-16 A 5-month-old Caucasian male sustained from bilateral subdural hemorrhages for which neurosurgical operative intervention was	02-02-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Drug Screens Family violence counseling Foster Care Individual therapy Parenting Classes

required. The biological father inflicted the injuries.					TAP Assessment
NF 68-16 A 3-year-old African American male who sustained injuries from non- compliance of asthma medication and extreme obesity. The child required numerous breathing treatments and oxygen therapy.	02-04-2016	Medical Neglect	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Foster Care Forecast Assessment Daycare Assistance
F 23-16 A 6-month-old African American female was found deceased while lying on the couch with her mother. The mother was impaired while providing care.	02-14-2016	Neglect—Impaired Caregiver	Death/Near Death Substantiated	Case Opened Inactive Case	Substance Abuse Assessment Mental Health Assessment Parenting Classes Drug Screen Individual Therapy Intensive Outpatient Therapy Grief Counseling The mother did not complete any tasks on her case plan, so the surviving sibling was placed in permanent relative custody.
NF 69-16 A 2-year-old African American female was observed to have bruising to	02-16-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action UK TAP Daycare Assistance
her body including bruising on her face by her right temple, swelling on the left side of forehead, bruising on	12-08-2016	Neglect- Risk of Harm	Substantiated	Case Opened	Court Action UK TAP Daycare Assistance

her knees and bruising on upper abdomen. Upon further examination, the child was found to have subdural hematoma, bruising to the brain tissue, spinal subdural hematoma, swelling to the bowel and abdomen, abdominal hematoma, bilateral intraretinal and subretinal hemorrhages. The biological father inflicted the injuries.	04-11-2013	Physical Abuse	Unsubstantiated	Case Closed	N/A
NF 70-16 A 7-month-old Caucasian male sustained from a subdural bilateral hemorrhage and facial bruising. The mother's paramour inflicted the injuries.	02-17-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Drug Screen Kinship/Relative Placement Individual therapy Medical care Physical / rehabilitation therapies
F 24-16 A 1-year-old Caucasian female drowned in a bathtub. The father was supervising the child while impaired at the time of the incident.	02-18-2016	Neglect—Impaired Caregiver	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Drug Screens Kinship/Relative placement Substance Abuse Assessment Mental Health Assessment NA/AA/CR Meetings Parenting Classes Grief Counseling Substance Abuse Treatment

NF 74-16	02-21-2016	Dhysical Abusa	Death/Near Death	Casa Opened	Court Action
= •	02-21-2016	Physical Abuse		Case Opened	
An 8-month-old bi-racial			Substantiated		Foster Care
male sustained acute				Currently Active	Individual therapy
subdural hematoma and				Ongoing Case	Physical / rehabilitation therapies
severe bilateral retinal					Substance Abuse Treatment
hemorrhages. An older	06-22-2015	Neglect- Risk of Harm	Substantiated	Case Opened	Court Action
subdural hematoma was also					Substance Abuse Treatment
located on the right side of					Parenting Classes
his brain.					Mental Health Assessment
					Prevention Plan requiring supervised
There were multiple					contact.
individuals providing care,	02-26-2014	Neglect-Risk of Harm	Substantiated	Case Opened	CCC In-Home Based Service (IHBS)
and a specific perpetrator					Individual therapy
could not be identified.					Substance Abuse Treatment
					Court Action
					Drug Screens
					Family Preservation
	11-05-2012	Neglect- Basic	Substantiated	Case Opened	Drug Screens
	11 03 2012	TVCGICCE Busic	Jabatantiatea	case opened	Court Action
					Kinship/Relative Placement
					Prevention Plan
	10-27-2011	Noglast Pasis	Services Not Needed	Case Closed	
NE 75 4C		Neglect- Basic			N/A
NF 75-16	03-03-2016	Physical Abuse	Death/Near Death	Case Closed	Prevention plan around appropriate
A 2-year-old Caucasian male			Substantiated		supervision, with a prohibition against
was shot by a 15-year-old				Inactive Case	using the uncle or grandmother.
maternal uncle. The incident					
occurred while both were					
with the maternal					
grandmother.					

NF 76-16 A newborn African American male was delivered in the toilet of the mother's home. The child's mother intended for him to drown, following a hidden pregnancy.	03-04-2016	Medical Neglect	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Family Preservation Program Family therapy Individual therapy Kinship/Relative placement Prevention Plan
NF 77-16 A 5-month-old African American male sustained multiple healing rib fractures, arm fractures, leg fractures, a	03-14-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Kinship/Relative Placement Individual Therapy Forecast Assessment Prevention Plans (updated as case progressed)
skull fracture and bleeding on his brain. The mother's paramour inflicted the injuries.	09-28-2015	Neglect- Risk of Harm	Unsubstantiated	Case Closed	Drug Screen
NF 79-16 A 1-year-old Caucasian male ingested oxycodone pills from the floor. The home was in poor condition. The parents did not seek immediate medical attention.	03-15-2016	Neglect- Supervision	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Family Preservation Program Kinship/Relative placement Services in the Community Substance Abuse Treatment Substance Abuse Assessment Mental Health Assessment Parenting Classes
F 34-16 A 15-year-old biracial male was found deceased in his bed, due to a suspected overdose. An autopsy	03-18-2016	NeglectSupervision	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Social work monitoring
revealed cause of death to be due to multiple drug intoxication (morphine, codeine, trazodone, doxylamine,	08-25-2014 10-01-2013	Neglect- Risk of Harm Neglect- Basic	Unsubstantiated Unsubstantiated	Case Closed Case Closed	N/A N/A

dextromethorphan,					
promethazine).	00.47.0046	DI : IAI	D 11/01 D 11	0 0 1	W. 1: /8 1 W. 1
NF 80-16 A 6-week-old Caucasian female sustained bilateral subdural hemorrhages, multiple bruises, severe retinal hemorrhages, and a CML of right distal femur. The child was in the care of	03-17-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Kinship/Relative placement Child Day Care Family therapy Family violence counseling Home Health services Individual therapy Medical care Physical/rehabilitation therapies
her father at the time of her					Psychological or psychiatric referral
injuries. NF 82-16 An 8-year-old Caucasian female overdosed on Benadryl. The child was in the custody of her maternal aunt and uncle at the time of the ingestion. NF 83-16 A 7-month-old Caucasian	03-15-2016	Neglect Physical Abuse	Death/Near Death Substantiated Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case Case Closed	Substance Abuse Treatment Court Action Foster Care Individual therapy Kinship/Relative placement Psychological or psychiatric Services in the Community Social Work Counseling There were no other children in the home.
male sustained fatal bi-lateral retinal hemorrhaging and chronic subdural hemorrhaging. The mother's paramour inflicted the injuries.				Inactive Case	
NF 84-16 A 9-month-old Caucasian male sustained seconddegree burns to 25% of his body. The injuries were inflicted by the biological father.	03-27-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Inactive Case	Court Action Drug Screens Forecast Assessment Foster Care Substance Abuse Assessment Individual therapy Parenting Classes

	12-04-2015	Neglect- Risk of Harm	Found/Substantiated	Case Closed	Psychological or psychiatric Assessment Substance Abuse Treatment Permanent custody awarded to a non-relative. Family resided in Indiana. Services were offered, and TPR occurred in that state. No services were offered in KY.
F 31-16 & F32-16 A 5-year-old Caucasian male and a 4-year-old Caucasian female were shot and killed by the biological father in a murder-suicide event. The father also shot and killed the biological mother.	03-23-2016	Physical Abuse	Death/Near Death Substantiated	Case Closed	All family members are deceased. No services offered.
NF 86-16 A 10-month-old Caucasian male sustained from bilateral subdural hematomas inflicted by an unknown perpetrator.	03-30-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Family Preservation Program Prevention plan regarding use of alternative baby-sitter.
NF 87-16 A 4-month-old Caucasian female sustained a left parietal skull fracture, left occipital skull fracture, subdural and subarachnoid hemorrhaging to the left and top of her head, a midline shift of seven millimeters, a stroke to the left side of the brain due to brain swelling, a	04-05-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened	Family Preservation Program Kinship/Relative Placement Court Action The father received permanent custody. The mother/child care provider was charged with Criminal Abuse 1 st Degree. There is no documentation as whether or not this child care provider was licensed through OIG.

stroke to the right side of her brain, left side facial and scalp swelling, diffused retinal hemorrhaging in both eyes, a ruptured left ear drum, and two aging posterior rib fractures on the 9th and 10th ribs. The injuries were inflicted by a child care provider. Another child was also found to have a skull fracture and a broken clavicle. NF 88-16	04-04-2016	Neglect- Supervision	Death/Near Death	Case Opened	HANDS Program
A 1-year-old Caucasian male was left unattended drowned in a bathtub while in the care of the biological father.			Substantiated	Currently Active Ongoing Case	Parenting Classes Mental Health Assessment Individual Therapy Grief Counseling
NF 89-16 A 2-month-old Caucasian male was diagnosed with failure to thrive and hypothermia. The parents were the sole caretaker of the child.	04-14-2016	Basic Neglect	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	CCC In-Home Based Service (IHBS) Family violence counseling Preventative Assistance Social Work Counseling CATS Assessment Kinship/Relative Placement
NF 90-16 A 7-week-old Caucasian female sustained subdural hemorrhaging, retinal hemorrhaging and facial bruising below the left eye and left side of the eye. The	04-17-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Kinship/Relative Placement Offender Treatment Substance Abuse Treatment Family Therapy Individual Therapy Physical / Rehabilitation Therapies Home Health Services

injuries were inflicted by the biological father.					
NF 91-16 A 5-year-old African American male sustained from a right parietal soft tissue swelling, a grade II-IV liver laceration, hemoperitoneum, near complete pancreatic transection and abnormal attenuation of the right kidney, and upper pole of the left kidney. The mother's paramour caused the injuries to the child.	04-16-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened	Family Therapy Individual Therapy Kinship/Relative Placement Medical Care Preventative Assistance Permanent custody was ultimately awarded to relatives.
NF 92-16 A 9-year-old Caucasian female, with a history of firesetting, caught her clothing on fire. The child sustained burns to 55% of her body. The mother was providing care at the time of the incident.	04-29-2016	NeglectSupervision	Death/Near Death Substantiated	Case Opened	Court Action CCC In-Home Based Service (IHBS) Family Preservation Program Family therapy Group therapy Individual therapy Kinship/Relative Placement Medical care Offender treatment Physical / rehabilitation therapies Social Work Counseling Transportation

NF 93-16 A 5-month old presented to the sustained bilateral subdural hematomas and bruising to her face. The mother's paramour inflicted the injuries.	05-10-2016	Physical Abuse	Death/Near Death Substantiated	Case Closed	Court Action (petitions dismissed) Drug Screens Parenting Assessment The mother completed a case plan with DCBS, and the child was returned to her care. The mother's paramour was charged with Criminal Abuse 1st Degree.
NF 95-16 A 2-month-old Caucasian male sustained a skull fracture, a distal metaphyses of both femurs, and bruising to the face, head, and ears. The biological father inflicted the injuries.	05-13-2016	Physical Abuse	Death/Near Death Substantiated	Case Opened	CCC In-Home Based Service (IHBS) Child Day Care Family Preservation Program Family therapy Family Violence Counseling First Steps Individual Therapy Medical Care Social Work Counseling UK TAP Victim Advocate Mother retained custody, and completed tasks and objectives on her case plan.
NF 96-16 A 1-year-old biracial male arrived at the ER in respiratory distress. The mother noticed the child's difficulty in breathing 10 hours prior to arrival. The mother did not have any of the child's medication, inhaler, or nebulizer.	05-23-2016	Medical Neglect	Death/Near Death Substantiated	Case Closed	Court Action (no findings upon adjudication) Prevention Plan Aftercare Plan

NF 97-16	05-30-2016	Environmental Neglect	Death/Near Death	Case Opened	Kinship/Relative placement
A 1-year-old Caucasian male			Substantiated	- Спосторонный применения	Services in the Community
ingested blood pressure				Currently Active	Social Work Counseling
medication that he found in a				Ongoing Case	Substance abuse
box in his new home. The					Substance Abuse Treatment
family had moved, but did	03-10-2016	Neglect—Impaired	Unsubstantiated	Case Closed	No services were offered. The mother
not secure the medications		Caregiver			was thought to have overdosed on
upon arriving at their new					medications, but her blood insulin was
house. Both parents were at					low causing the mother to become
home at the time of the					unresponsive.
ingestion.					
F 39-16	05-25-2016	Basic Neglect	Death/Near Death	Case Opened	Family therapy
A 7-week-old Caucasian male			Substantiated		Individual therapy
was found unresponsive and				Currently Active	Preventative Assistance
deceased while co-sleeping				Ongoing Case	Social Work Counseling
with his intoxicated parents.	04-12-2016	Risk of Harm Neglect	Unsubstantiated	Case Closed	Case unsubstantiated and closed due
Both parents were under the					to fatality investigation. The child was
influence of narcotics at the					born exposed to Suboxone.
time of the child's death.					
					Prevention Plan: 1.) Grandmother
					agrees to bring Elijah home from the
					hospital. 2.) Grandmother agrees that
					all contact with parents and child be
					supervised by Grandmother. 3.)
					Grandmother agrees to take child to all
					scheduled doctor's appointments. 4.)
					Grandmother agrees to meet all of
					Elijah's needs, medical, physical,
					developmental, etc. 5.) Mother agrees
					to allow Grandmother to supervise all
					contact between parents. 6.) Mother
					agrees to be actively involved in the
					care of child, changing, feeding, dr.

					apts. Etc. and only when mother is sober.
NF 100-16 A 1-month-old Caucasian female sustained a subdural hemorrhage and substantial brain injuries. The child required resuscitation. The mother and her paramour inflicted the injuries.	06-04-2016	Physical Abuse	Death/Near Death Substantiated	Case Closed Inactive Case	The case responsibility was shared with another state. That state removed all children and placed them in the care of the respective biological fathers.
NF 101-16 A 2-month-old Caucasian female ingested a Suboxone pill. The mother had a history of substance abuse, and the Suboxone belonged to her.	06-07-2016	Neglect	Death/Near Death Substantiated	Case Opened Inactive Case	AA/NA Meetings Individual Therapy Numerous Prevention Plans (updated as case progressed) Social Work Counseling Substance Abuse Treatment UK TAP Out of home placement Mother regained custody after she completed all tasks on her case plan.
	01-05-2015	Neglect- Environment	Unsubstantiated	Case Closed	N/A
F 41-16 A 7-month-old African American male drowned in a bathtub after being unsupervised. The mother was the caregiver at the time of the incident.	06-20-2016	NeglectSupervision	Death/Near Death Substantiated	Case Opened Currently Active Ongoing Case	Court Action Family Preservation Program Family Violence Counseling Individual Therapy Parenting Classes Psychological or Psychiatric Services Social Work Counseling Substance Abuse Treatment TAP Program
F 42-16	06-24-2016	NeglectSupervision	Death/Near Death Substantiated	Case Closed	Court Action Kinship/Relative Placement

A 1-year-old Caucasian male		Currently Active	
drowned in a bathtub after		Ongoing Case	
being left unsupervised. The			
mother was the caregiver at			
the time of the incident.			